

"When we were looking to roll out a best in class compliance program for a recently acquired diversified global company, we turned to GCSG as our outsourced solution because of their founder's practical experience with multinational corporations, compliance audits/risk assessments and the ability to quickly adapt and scale to our changing compliance environment. We have been extremely pleased with the results."

– Edward

"GCSG is a true business partner. The team is insightful, responsive and professional. They flag issues appropriately and have helped us develop a risk-based compliance program that will serve our company well. I look forward to our ongoing working relationship and would not hesitate to recommend GCSG to other companies looking for advice with compliance matters."

– Kim

"Global Compliance Solutions Group provided a professional, timely, and cost effective solution to our compliance concern. They delivered sound regulatory guidance, insights based on real industry experience, and a practical approach to improve our processes."

– Chris

Our Values

Integrity

We conduct all of our business in an ethical manner, thereby protecting the integrity of our organization and ensuring no harm to our clients.

Service

We bring a service mind-set to our clients so we can meet their needs in an efficient manner. We encourage the same mind-set in our communities.

Accountability

We are accountable to our clients needs and we conduct our business in accordance with applicable professional standards.



Global Compliance Solutions Group

www.globalcompliancesg.com

info@globalcompliancesg.com

225.229.2984



Global Compliance Solutions Group

Advisory ■ Audit ■ Due Diligence

Third-Party Risk Management

Partner with GCSG —
an experienced, reliable, and
trustworthy provider of Third-
Party Risk Management
solutions.

- Assess your risk
- Make wise choices
- Mitigate your risk
- Protect your reputation

Our Commitment

We strive to exceed our client's expectations by providing high quality compliance products and services while staying true to our core values of integrity, service and accountability.

Our focus on integrity and serving our customers to ensure their satisfaction is at the core of what GCSG is about.

Companies are increasingly turning to acquisitions and to outsourced distribution, sales, and service providers to grow their businesses and access new markets. Regulators are now, more than ever, holding companies accountable for the compliance failures of acquired entities and affiliated third parties. As a result, the need for a documented, risk-based and multi-component approach towards the due diligence of third parties has grown in importance.

GCSG's unique experience and product solutions can provide companies with the confidence their third-party relationships and acquisition targets are suitable partners. GCSG is able to **assess** and develop your third-party compliance program and provide third-party due diligence reports. We can assign a relative **risk score** to your third parties and **audit** them for potential risks that may result in financial exposure or reputational damage. In addition, we provide a free Compliance Risk Index covering 200+ countries and a limited number of free country risk profiles on our website.

“Our aim is to deliver efficient, innovative solutions with integrity, a service mind-set and accountability to our clients and our profession.”

- Jonathan Mellard, Founder

Advisory

- Internal Risk Assessment
- Benchmarking
- Develop and implement policies and procedures
- Third-party guidance
- Compliance Risk Index
- Country risk profiles

Audit

- Detailed books or on-site third-party audits covering:
 - EHSS
 - Anti-Bribery / Corruption
 - Export Controls
 - DEA
 - Distilled Spirits

Due Diligence Reports

- Assess third-party suitability
- Screen restricted party lists
- Online media searches
- Business Registration
- Country Risks
- Litigation searches

Third-Party Risk Ranking Tool

- Assign risk score to third parties
- Categorize third parties
- Apply recommended due diligence to each entity

Training

- Develop client specific computer-based third-party training packages
- In-person training to educate internal staff or your third parties

Due Diligence Reports

- ⇒ Highlight potential risk areas
- ⇒ Auditable proof of a diligence process
- ⇒ Help facilitate on-boarding decision-making process
- ⇒ Watchlist/denied party screening, local language adverse media and litigation searches, validation of company information in 55+ countries, social media searches, country risks

Third-Party Risk Ranking Tool

- ⇒ Excel-based | Data stays with you
- ⇒ Customizable to fit client specific risks
- ⇒ Assesses (5) main risk areas including Governance and Regulatory, Anti-Bribery and Corruption, Export Controls, Financial, and Other
- ⇒ Scores (18) est. commercial, trade, bribery, risk factors as well as (7) internally developed **entity specific** risk factors to analyze the (5) risk areas
- ⇒ A total score is obtained —each entity categorized as a Low, Moderate, or High

